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Patent  
Attorney's Docket No. 030662-078

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yoshiharu YABUKI

Application No.: 09/978,029

Filed: October 17, 2001

For: OPTICAL FILTER HAVING FILTER  
LAYER CONTAINING INFRARED  
ABSORBING DYE AND  
ULTRAVIOLET ABSORBING AGENT

Group Art Unit: Not Assigned

Examiner: Not Assigned

#3

INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By:

Jonathan D. Baskin  
Registration No. 39,499

Date: February 4, 2002



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of U.S. Patent No. 6,307,671 is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or statement is required under 37 C.F.R. § 1.97(b).

The Examiner's attention is directed to copending application number 09/655,774 naming Yoshiharu Yabuki as inventor and filed on September 5, 2000, and U.S. Patent Application No. 09/759,297 naming Isao Ikuhara, Toru Harada and Takumi Ando as inventors and filed on January 15, 2001, a copy of which is enclosed.

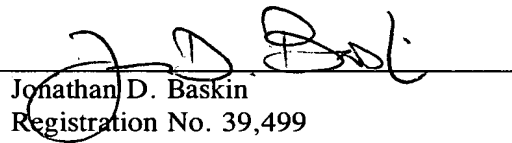
☐ In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.

To assist the Examiner, U.S. Patent No. 6,307,671 is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: \_\_\_\_\_

  
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